

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE REQUESTED FILING OF RULE 1.53(B)
CONTINUATION PATENT APPLICATION BASED ON
THE FOLLOWING PENDING PRIOR PATENT APPLICATION:

In re Application of: Peidong Wang et al.
Serial No.: 09/750,434
Filing Date: 12/28/2000
Title: TUNABLE FABRY-PEROT FILTER AND TUNABLE
VERTICAL CAVITY SURFACE EMITTING LASER
Group Art Unit: 2828
Examiner: Dung T. Nguyen
Attorney's Docket No.: CORE-67

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SUBMISSION OF FORMAL DRAWINGS

Enclosed herewith please find the formal drawings for the above-identified patent application, consisting of Figs. 1-11 on eleven (11) sheets of drawings.

In the event that any fee is required to be paid in connection with the present submission of formal drawings, please charge the cost of the same to Deposit Account No. 16-0221. A duplicate copy of this letter is enclosed for the convenience of the Patent and Trademark Office.

Thank you.

Respectfully submitted,

James A. Sheridan 6/24/03

James A. Sheridan
Registration No. 43,114
Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02451-1914
Tel. (781) 290-0060
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peidong Wang et al.
Serial No.: 09/750,434
Filing Date: 12/28/00
Title: TUNABLE FABRY-PEROT FILTER
AND TUNABLE VERTICAL CAVITY
SURFACE EMITTING LASER
Group Art Unit: 2881
Attorney's Docket No.: CORE-67

COPY

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION - FILING DATE GRANTED

In response to the outstanding NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED, Applicant
hereby respectfully submits the following:

(1) a DECLARATION AND POWER OF ATTORNEY document listing
the names of each of the inventors, specifically identifying the
application by serial number and filing date, and signed by the
inventors, Peidong Wang, Daryoosh Vakshoori, and Parviz Tayebati;

CORE-67

(2) a substitute set of drawings for the application, with appropriate margins in compliance with 37 CFR 1.84;

(3) a duplicate copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED, as requested in the Notice; and

(4) one (1) check in the amount of \$130.00 to cover the surcharge for the late filing of the Declaration, this charge having been calculated on the basis of Large Entity status.

In the event that any additional fees may be required to be paid in connection with this submission, please charge the same, or credit any overpayment, to Deposit Account No. 16-0221.

A duplicate copy of this RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED is enclosed for the convenience of the Patent and Trademark Office.

Respectfully submitted,

"EXPRESS MAIL" MAILING LABEL NUMBER ET1696834/245
DATE OF DEPOSIT June 14, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL" POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

JAMES A. Sheridan

(PERSON MAILING)

James A. Sheridan 6/14/01
(SIGNATURE)

James A. Sheridan 6/14/01

James A. Sheridan

Registration No. 43,114

Pandiscio & Pandiscio

470 Totten Pond Road

Waltham, MA 02451-1914

Tel. No. (781) 290-0060



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,434	12/28/2000	Peidong Wang	CORE-67

CONFIRMATION NO. 7877

FORMALITIES LETTER



00000000005765803

Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02451-1914

Date Mailed: 02/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

fit
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Title:

Peidong Wang et al.
TUNABLE FABRY-PEROT FILTER AND
TUNABLE VERTICAL CAVITY SURFACE
EMITTING LASER
CORE-67

Attorney's Docket No.:

Date:

December 28, 2000

BOX PATENT APPLICATION
Assistant Commissioner, For Patents
Washington, D.C. 20231

COPY

Sir:

FILING OF PATENT APPLICATION UNDER 37 CFR 1.10

The attached application is being filed under the
provisions of 37 CFR 1.10.

Applicant's attorney is also submitting the requisite
fee as calculated on the attached transmittal letter.

Respectfully submitted,

"EXPRESS MAIL" MAILING LABEL NUMBER EK83040336245

DATE OF DEPOSIT DECEMBER 28, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE
DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MARK J. PANDISCIO

(PERSON MAILING)

Mark J. Pandiscio 12/28/00
MB/C (SIGNATURE)

Mark J. Pandiscio 12/28/00

Mark J. Pandiscio
Reg. No. 30,883
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470 Totten Pond Road
Waltham, MA 02451-1914
(781) 290-0060

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MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AFFIDAVIT VERIFYING APPLICATION

Commonwealth of Massachusetts
County of Middlesex

I, James A. Sheridan, being duly registered to practice before the U.S. Patent and Trademark Office, and being duly sworn, depose and state that: I am attorney of record in the above-identified pending prior application Serial No. 09/750,434, filed 12/28/2000, and that the enclosed application is a true copy of the above-identified prior application Serial No. 09/750,434 as filed, and that no amendments referred to in the oath or declaration filed to complete the prior patent application Serial No. 09/750,434 introduced new matter therein.


James A. Sheridan
Registration No. 43,114

Sworn to before me this 24th day of June, 2003.


Notary Public

My commission expires: April 17, 2009